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Assessing the Potential Contributions of Additional Retention Processes to PFAS Retardation in the Subsurface

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Abstract

A comprehensive understanding of the transport and fate of per- and poly-fluoroalkyl substances (PFAS) in the subsurface is critical for accurate risk assessments and design of effective remedial actions. A multi-process retention model is proposed to account for potential additional retardation processes for PFAS transport in source zones. These include partitioning to the soil atmosphere, adsorption at air-water interfaces, partitioning to trapped organic liquids (NAPL), and adsorption at NAPL-water interfaces. An initial assessment of the relative magnitudes and significance of these retention processes was conducted for two PFAS of primary concern, perfluorooctanesulfonic acid (PFOS) and perfluorooctanoic acid (PFOA), and an example precursor (fluorotelomer alcohol, FTOH). The illustrative evaluation was conducted using measured porous-medium properties representative of a sandy vadose-zone soil. Data collected from the literature were used to determine measured or estimated values for the relevant distribution coefficients, which were in turn used to calculate retardation factors for the model system. The results showed that adsorption at the air-water interface was a primary source of retention for both PFOA and PFOS, contributing approximately 50%, of total retention for the conditions employed. Adsorption to NAPL-water interfaces and partitioning to bulk NAPL were also shown to be significant sources of retention. NAPL partitioning was the predominant source of retention for FTOH, contributing ~98%, of total retardation. These results indicate that these additional processes may be, in some cases, significant sources of retention for subsurface transport of PFAS. The specific magnitudes and significance of the individual retention processes will depend upon the properties and conditions of the specific system of interest (e.g., PFAS constituent and concentration, porous medium, aqueous chemistry, fluid saturations, cocontaminants). In cases wherein these additional retention processes are significant, retardation of PFAS in source areas would likely be greater than what is typically estimated based on the standard assumption of solid-phase adsorption as the sole retention mechanism. This has significant ramifications for accurate determination of the migration potential and magnitude of mass flux to groundwater, as well as for calculations of contaminant mass residing in source zones. Both of which have critical implications for human-health risk assessments.

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Graphical Abstract



Keywords

Perfluoroalkyl; PFOS; PFOA; retardation; partitioning; air-water interface; NAPL-water interface

1. Introduction

The use of per- and poly-fluoroalkyl substances (PFAS) in numerous industrial, commercial, and military applications has resulted in their widespread distribution in the environment (cf., EPA 2009a, Rayne and Forest, 2009, Ahrens, 2011, Krafft and Riess, 2015a, Cousins et al., 2016). For example, it has been demonstrated that PFAS contamination of soil and groundwater exists at many Department of Defense fire-fighting training sites and other areas (Moody and Field, 2000, Moody et al., 2003, Ahrens, 2011, Backe et al., 2013, Anderson et al., 2016). While many of the PFAS-contaminated sites are associated with military facilities, groundwater contamination is also observed for non-military sites such as manufacturing plants and airports (EPA, 2009a, Rayne and Forest, 2009, Cousins et al., 2016). Perfluorooctanesulfonic acid (PFOS) and perfluorooctanoic acid (PFOA) are two primary PFAS of concern. A recent tabulation of their occurrences in groundwater shows measured concentrations ranging up to >2000 and 300 μ g/L for PFOS and PFOA, respectively (Cousins et al., 2016).

There is increased scrutiny and concern regarding the bioaccumulation and toxicity potential of PFAS, particularly for PFOS and PFOA (EPA, 2009, 2014). In 2009, the US EPA established provisional health advisory values of 0.4 μ g/L for PFOA and 0.2 μ g/L for PFOS (EPA, 2009). In 2016, a lifetime health advisory of 0.07 μ g/L was issued for the combined total of PFOA and PFOA for long-term exposure through drinking water (EPA, 2016). The observed concentrations of PFOS/PFOA in groundwater noted above are orders of magnitude greater than US EPA's drinking water health advisory value. Hence, the occurrence of PFAS in groundwater and the resultant potential ramifications for human exposure are of significant interest. For example, a recent survey was conducted to determine the occurrence of select PFAS in drinking water for all 4064 public water supplies that serve >10000 individuals in the US. The results showed that drinking water supplies for

6 million U.S. residents exceed US EPA's lifetime health advisory for PFOS/PFOA (Hu et al., 2016). The preceding information indicates that there is potential significant risk posed to water resources and human health by PFAS-contaminated sites.

The risk posed by PFAS soil and groundwater contamination, as well as the effective remediation of such contamination, is mediated by the transport and fate behavior of PFAS in the subsurface. PFOA, PFOS, and related PFAS are typically highly recalcitrant owing to their strong C–F bonds. This, in addition to high aqueous solubilities and relatively low retardation for select compounds, can result in the generation of large, persistent groundwater contaminant plumes. Another complicating factor is that PFAS contamination generally consists of numerous compounds of varied physicochemical properties, some of which can transform to PFOA/PFOS (often noted as precursor compounds). Remediation of this complex system is highly challenging, and most available technologies have been shown to be ineffective, as noted in a recent review (Merino et al., 2016).

The high potential health risks associated with PFAS-contaminated sites, and the difficulty and costliness of their remediation, makes it imperative that risk assessments are as accurate as possible to support effective decision-making. Accurate risk assessments require a comprehensive and definitive understanding of the subsurface transport and fate behavior of PFAS, including accurate determination of migration potential from sources to groundwater. Rates of migration are governed in part by the retention and attenuation capacities of the system, which are a function of site conditions and inherent physicochemical properties of the compounds. Primary retention-attenuation processes in general include diffusive flux into lower-permeability units, phase partitioning (e.g., sorption), and transformation reactions.

PFOA, PFOS, and select other PFAS of concern are highly recalcitrant, as noted above. Therefore, transformation-related attenuation processes are likely to be of minimal impact under many natural conditions. However, transformation processes have been shown to be important for PFAS precursors (e.g., Houtz et al., 2013, Anderson et al., 2016). The impact of diffusive mass transfer on solute transport and plume attenuation/persistence in heterogeneous systems is well established. Sorption of PFAS by the solid phase of geologic media is one phase-partitioning retention process that may have significant impact on PFAS transport and attenuation. The sorption behavior of PFAS in geomedia has been investigated over the past decade, and is being considered in conceptual models of transport and fate, as will be further discussed in a following section.

The processes discussed in the preceding paragraph are the standard factors typically considered in conceptual and mathematical models of subsurface contaminant transport and fate. Indeed, these factors are predominant for solute transport within groundwater contaminant plumes. However, there are additional retention/attenuation processes that may influence the transport and fate of PFAS in source zones. These include (i) adsorption to airwater interfaces in the vadose zone, (ii) partitioning to the soil atmosphere in the vadose zone, (iii) partitioning (absorption) to nonaqueous-phase liquids (NAPL) trapped in source zones (both vadose and saturated zones), and (iv) adsorption to NAPL-water interfaces in NAPL-contaminated source zones. Recent in-depth assessments of PFAS occurrence and

fate at field sites have demonstrated that vadose-zone sources are a primary subsurface reservoir of PFAS, serving as long-term (decades) contaminant sources to groundwater (Shin et al., 2011, Xiao et al., 2015, Weber et al., 2017). In addition, it is likely that PFAS co-occur with NAPLs at certain types of sites, as has been observed for fire training areas (e.g., Moody et al., 2003, McGuire et al., 2014). Hence, it is critical to examine the potential contribution of these additional retention processes to PFAS transport and fate. This is relevant for example for accurate characterization and modeling of PFAS migration potential from sources to groundwater in support of robust risk assessments, and selection and design of cost-effective remediation systems.

These additional processes have to date received minimal to no investigation for PFAS transport in the subsurface. The objective of this work is to provide an initial assessment of the potential impact of air-water interfacial adsorption, air-water partitioning, NAPL-water interfacial adsorption, and NAPL-water partitioning on PFAS retention and transport. Physicochemical properties of the two primary PFAS of concern, PFOA and PFOS, are reviewed to assess their distribution potential. A comprehensive conceptual model is presented for characterizing retention of PFAS in geomedia systems. Data reported in the literature are used to determine phase-distribution coefficients, which are then employed to calculate retardation factors. These results are used to characterize potential magnitudes of retention for the respective processes and to evaluate their relative significance to overall retardation for a model representative system.

2. Evaluation of Retention Processes

2a. Solid-phase Sorption

Research investigating PFAS adsorption by solids has become a focus over the past decade, with investigations examining water treatment applications (as reviewed in Du et al., 2014 and Merino et al., 2016) and subsurface porous media--- soils and sediments (Liu and Lee, 2005, 2007, Higgins and Luthy, 2006, 2007, Johnson et al., 2007, Carmosini and Lee, 2008, Chen et al., 2009, Pan et al., 2009, Ferrey et al., 2012, Guelfo and Higgins, 2013, Zhao et al., 2014, Milinovic et al., 2015, Zhang et al., 2015, Hellsing et al., 2016). Adsorption of PFAS by solids is complex due to the nature of the molecular structure of PFAS. For example, the sorption of PFAS such as the telomers can be relatively large, due primarily to organiccarbon partitioning associated with hydrophobic interactions (e.g., Liu and Lee, 2005, Goss et al., 2006). Conversely, PFOS and PFOA in their anionic forms have relatively high aqueous solubilities and generally have lower sorption potential. However, the sorption of ionizable PFAS can be influenced by electrostatic interactions, based on the properties of the functional group(s) of the PFAS (Higgins and Luthy, 2007, Johnson et al., 2007, Ferrey et al., 2012, Du et al., 2014, Zhao et al., 2014, Hellsing et al., 2016, Merino et al., 2016). In such cases, zwitterionic and especially cationic species would likely have increased retention.

Sorption of constituents by subsurface porous media is typically more complex than adsorption by water-treatment solids because the media used for water treatment are generally comprised of homogeneous materials designed to behave ideally. Conversely, subsurface media are typically physically and geochemically heterogeneous. As would be

anticipated, research has shown that PFAS sorption by subsurface media is greatly affected by the geochemical properties of the solid, particularly with respect to specific components present. Critical factors investigated for PFAS include the magnitude and nature of organic carbon, magnitude and type of metal oxides, and clay mineralogy (Higgins and Luthy, 2006, Liu and Lee, 2007, Ferrey et al., 2012, Milinovic et al., 2015, Zhang et al., 2015). In addition, it is well known that the adsorption of surfactants is sensitive to water chemistry. This has been demonstrated for PFAS, wherein research has shown that factors such as ionic strength, salt composition, pH, and presence of co-contaminants affect solid-phase adsorption (Higgins and Luthy, 2006, Chen et al., 2009, Pan et al., 2009, Guelfo and Higgins, 2013, Zhao et al., 2014).

The discussion above clearly shows that the sorption of PFAS is sensitive to physicochemical properties of the compound, physical and geochemical properties of the porous media, and water chemistry properties. Thus, an accurate evaluation of PFAS transport and retention requires thorough investigation of the impact of these factors. Research conducted over the past decade has significantly advanced our understanding of the sorption behavior of PFAS in subsurface systems. While more remains to be done, research into the impact of solid-phase sorption for PFAS is quite advanced compared to the status for the retention processes discussed in the following subsections. Thus, the following processes are the focus of the present work.

2b. Air-water Partitioning

Partitioning to the soil atmosphere can serve as a source of retention during aqueous-phase transport of volatile organic compounds in vadose-zone systems. For example, Kim et al. (1998) demonstrated with a series of column experiments that such partitioning contributed approximately 15–66%, of total retardation for transport of several aromatic compounds. The functioning of this retention process can differ in some circumstances from the standard retardation behavior. First, the soil atmosphere may be subject to advective flux due to for example soil-venting operations, landfill-gas generation, and barometric-pressure changes. In such cases, vapor-phase advective transport of PFAS would need to be explicitly considered. In addition, gas-phase diffusion coefficients are several orders of magnitude greater than aqueous values. Hence, gas-phase diffusive flux may be a significant transport mechanism depending on extant conditions. For situations wherein gas-phase advective flux is absent and gas-phase diffusive flux is insignificant compared to aqueous advective flux, partitioning to the soil atmosphere can be treated as a standard retention process.

Air-water partition coefficients (dimensionless Henry's constant, H) vary greatly among the different PFAS. Measured and calculated H values for PFOA and PFOS are in the 10^{-3} range (Ding and Peijnenburg, 2013). These values are typically determined for the protonated form, and are thus influenced by dissociation. The reported pK_a values for PFOA are generally less than 3 (Ding and Peijnenburg, 2013), and is likely close to 0 (Goss, 2008), which means that it will occur in its dissociated form under most environmental conditions. Hence, the effective H values for PFOA and PFOS are likely to be smaller than reported under environmentally relevant pHs. Given their very small H values, partitioning to the soil atmosphere in the vadose zone is unlikely to be a significant retention process for these two

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compounds. Conversely, H values for other PFAS, such as fluorotelomer alcohols (FTOHs) and fluorotelomer olefins are quite large, ranging approximately 0.05–4 and 100–10,000, respectively (Goss et al., 2006, Ding and Peijnenburg, 2013). Thus, air-water partitioning is anticipated to be a significant process influencing the transport behavior of these compounds. Indeed, atmospheric transport of the fluorotelomers has been identified as the probable cause of their observed widespread distributions in the environment (e.g., Ellis et al., 2003, Ahrens, 2011, Ding and Peijnenburg, 2013).

The retardation factor (R) for aqueous-phase transport of solute undergoing partitioning to soil atmosphere is given by (e.g., Kim et al., 1998):

 $R=1+H\theta_a/\theta_w$ (1)

where H is the air-water partition (Henry's) coefficient (–), θ_{a} is volumetric air content (–), and θ_{w} is volumetric water content (–).

2c. Adsorption at the Air-Water Interface

One retention factor that may be of significance for vadose-zone systems is adsorption at the air-water interface. Interfacial adsorption is distinguished from air-water partitioning wherein the former represents accumulation of constituents at the fluid-fluid interface and whereas the latter represents transfer of constituents into the bulk fluid. For aqueous-phase constituent transport, air-water interfaces provide an additional domain for retention and retardation for conditions wherein the interface is immobile or moves at a velocity lower than that of the bulk aqueous phase. While this factor is often ignored for characterizing the transport and fate of organic contaminants in water-unsaturated systems, it has been demonstrated to be important in some cases. For example, Brusseau and colleagues demonstrated for a bench-scale system that adsorption at the air-water interface contributed 29–73%, of total trichloroethene (TCE) retardation for gas-phase transport, depending on porous-medium type (Brusseau et al., 1997). They later determined that air-water interface adsorption contributed ~24%, of total TCE retardation for an intermediate-scale field experiment (Costanza-Robinson et al., 2013). Kim et al. (1998, 2001) demonstrated similarly that adsorption at the air-water interface contributed significantly to total retardation of various organic compounds for both gas-phase and aqueous-phase transport. Several studies have investigated the impact of air-water interfacial adsorption on retention of surfactants during transport in unsaturated porous media, primarily in terms of the use of surfactants as tracers for interfacial partitioning tracer tests (e.g., Karkare and Fort, 1996, Kim et al., 1997, Anwar et al., 2000, Schaefer et al., 2000, Brusseau et al., 2007, 2015).

PFAS contamination is likely to be present in the vadose zone at many sites as a result of waste disposal, spillage, and specified applications (e.g., fire training). PFOS, PFOA, and related PFAS of concern are surfactants, and by their nature will accumulate at air-water interfaces. Thus, it is anticipated that adsorption to air-water interfaces may be a significant retention and attenuation mechanism for these PFAS in vadose-zone sources. However, this process has not yet been investigated for the transport and fate of PFAS in the subsurface environment.

Fluorinated surfactants are typically more surface active than the analogous standard hydrocarbon surfactants (e.g., Krafft and Riess, 2015). This is related to the unique nature of the "tail" portion of the compound. The tail of fluorinated surfactants is hydrophobic due to its nonpolar, fully saturated nature, just as are the tails of standard hydrocarbon surfactants. However, unlike hydrocarbon surfactants, the tails of fluorinated surfactants are also lipophobic due to the low polarizability of the C–F bond. This unique property results in significantly greater reductions in surface tension caused by fluorinated surfactants. For example, PFOA reduces surface tension to ~22 mN/m at the critical micelle concentration (CMC) of ~12,400 mg/L (Downes et al., 1995, López-Fontan et al., 2005, Vecitis et al., 2008), compared to 45 mN/m for facial areas of 80 and 20 cm⁻¹, respectively, are used based on values measured for a sandy subsoil at the corresponding fluid saturations (Brusseau et al., 2007, 2008, 2015).

Research results from investigations related to chemical applications of perfluorinated surfactants demonstrate that PFOS, PFOA, and related compounds are very strongly adsorbed at the air-water interface. For example, Psillakis et al. (2009) measured enrichment factors for perfluoro-n-alkyl carboxylate $F(CF_2)nCO_2^-$ and sulfonate $F(CF_2)nSO_3^-$ surfactant adsorption at the air-water interface. The enrichment factors measured for PFOS and PFOA were 158 and 63, respectively, demonstrating very large preferential adsorption at the interface. Others have reported similar measurements of strong air-water interface adsorption, measured in terms of surface excess, for PFOS and PFOA (Downes et al., 1995; Vecitis et al., 2008; Lunkenheimer et al., 2015). Recently, it has been hypothesized that adsorption to the air-water interfaces of air bubbles trapped on the surfaces of carbonaceous water-treatment sorbents is a primary source of the retention they afford (Meng et al., 2014). These results indicate that adsorption at air-water interfaces has the potential to be a significant retention mechanism for PFAS transport in vadose-zone systems.

The R for aqueous-phase transport of solute undergoing adsorption at the air-water interface is given as (e.g., Kim et al., 1998; Brusseau et al., 2007):

 $R=1+K_{ai}A_{ai}/\theta_w$ (2)

where K_{ai} is the air-water interface adsorption coefficient (cm³/cm²), A_{ai} is the specific airwater interfacial area (cm²/cm³), and θ_w is volumetric water content (–). Inspection of equation 2 shows that the magnitude of air-water interface adsorption depends upon the value of K_{ai} and the amount of air-water interface present (A_{ai}).

The value of K_{ai} is determined by molecular properties of the compound, and is influenced by water chemistry properties (e.g., ionic strength, pH, T) (Costanza and Brusseau, 2000). Prior research for PFAS has shown that surface activity (and air-water interface adsorption potential) is influenced by compound chain length (Psillakis et al., 2009; Baba et al., 2013; Lunkenheimer et al., 2015), water chemistry properties such as ionic composition (Downes et al., 1995), and the presence of co-occurring PFAS compounds (Vecitis et al., 2008).

Regarding the amount of interface present, air-water interface is comprised of two components, capillary interface associated with contact between bulk fluid phases (e.g., terminal meniscii, pendular rings, wedges) and film interface associated with air in contact with water films solvating the solid surfaces. The results of experimental, theoretical, and modeling investigations have shown that the magnitude of air-water interface depends upon the amount of water and air present. Specifically, total (capillary + film) air-water interfacial area increases continuously as water content decreases, i.e., as air content increases (e.g., Cary, 1994; Kim et al., 1997, 1999; Or and Tuller, 1999; Schaefer et al., 2000; Oostrom et al., 2001; Costanza and Brusseau, 2002; Dalla et al., 2002; Peng and Brusseau, 2005; Brusseau et al., 2006, 2007). As water content approaches very small values close to zero (equivalent to a few molecular layers of adsorbed water) and the porous medium is almost fully saturated with air, the total interfacial area asymptotically approaches the specific solid surface area of the porous medium. Research has also demonstrated that fluid-fluid interfacial area is a function of soil properties such as grain size, grain-size distribution, and surface roughness (Anwar et al., 2000; Cho and Annable, 2005; Dobson et al., 2006; Brusseau et al., 2008, 2009, 2010; Peng and Brusseau, 2005).

2d. NAPL-water Partitioning

Another potential source of retardation of organic compounds is retention by organic liquids in NAPL source zones. This is of potential significance for PFAS given that they often occur in the presence of NAPL contamination at mixed-waste sites. For example, a primary source of PFAS contamination is the prior use of aqueous film forming foams for fire suppression. In some cases, sites that served as designated fire training areas were also used as disposal sites for solvent and oil wastes.

Initial investigations of the impact of solute partitioning to NAPL (e.g., absorption or "NAPL partitioning") on aqueous-phase transport of organic solutes were reported by Bouchard et al. (1990), Boyd and Sun (1990), and Brusseau (1990). Brusseau (1992) developed a model that accounted explicitly for NAPL partitioning and solid-phase adsorption, and demonstrated that the transport of organic contaminants can be greatly influenced by NAPL partitioning. For example, simulations showed that the retardation factor for a TCE-like solute increased from 2 for the case of only solid-phase adsorption to 17 for a case including partitioning to NAPL, with a NAPL saturation of 1%. The R increased to 152 when NAPL saturation was increased to 10%. Studies conducted for the partitioning tracer test method of measuring NAPL saturations have demonstrated the impact of NAPL partitioning on field-scale solute transport (Nelson and Brusseau, 1996; Annable et al., 1998a; Cain et al., 2000; Brusseau et al., 2003).

The R for aqueous-phase transport of solute undergoing partitioning into an immobile NAPL phase is given as (e.g., Brusseau 1990):

 $R=1+K_{nw}\theta_n/\theta_w$ (3)

where K_{nw} is the NAPL-water partition coefficient (–), θ_n is volumetric NAPL content (–), and θ_w is volumetric water content (–). Treating NAPL as a retention phase for the transport

of solute is predicated on the condition that the NAPL is immobile. This is likely the case for most old sites, with the exception of those with specific remedial operations that may promote significant NAPL movement.

Minimal research has been conducted to examine this factor for PFAS retention or transport in subsurface systems. Log K_{ow} values of 1.92 and 2.45 have been measured for PFOA and PFOS, respectively, at circumneutral pH (Jing et al., 2009). Given these magnitudes, it is anticipated that partitioning to NAPL may be a relevant retention process for PFAS. In one prior study, the impact of oil added to soil on PFOS retention was examined with batch experiments (Chen et al., 2009). The presence of the oil was observed to significantly increase sorption. Log oil-water partition coefficients were estimated to be greater than 4. The impact of trichloroethene NAPL on retention of a PFAS mixture was investigated using batch methods (Guelfo and Higgins, 2013). The results showed complex impacts, wherein sorption was reduced, not changed, or enhanced in the presence of TCE, depending upon the specific PFAS, its concentration, and the specific soil. In both of these studies, the samples were prepared by thoroughly mixing the NAPL with the soil. This approach most likely produces NAPL distributions that are not representative of typical subsurface conditions (e.g., generation of NAPL films coating a significant fraction of the grain surfaces). This distribution could cause interference with solid-phase adsorption via site blockage (as hypothesized in Guelfo and Higgins, 2013), and would produce maximal NAPL-water interface wherein some of the enhanced sorption may be due to adsorption at such interfaces. Conversely, residual trichloroethene NAPL saturation was emplaced using standard methods in the column study reported by McKenzie et al. (2016), wherein the NAPL would be distributed as discrete blobs and ganglia distributed nonuniformly throughout the soil pack. With such distribution, the fraction of soil-grain surface contacted by NAPL would be relatively small, and thus there would likely be minimal direct impact on solid-phase adsorption via surface blockage. This does not discount the potential for indirect effects due to the presence of high aqueous concentrations of trichloroethene. For this latter study, the presence of the residual NAPL was observed to measurably increase overall retardation for most of the PFAS. In these studies, the retention was attributed primarily to NAPL partitioning, while the potential for adsorption to the NAPL-water interface was also noted. However, the specific, discrete impacts of partitioning versus interfacial adsorption were not quantitatively differentiated. Log Knw values ranging from approximately 0 to 0.5 were reported for PFOA and PFOS for the two trichloroethene NAPL studies. These are much smaller than the reported log Kow values and the PFOS log Knw values reported for retention by crude oil (Chen et al., 2009). This may perhaps be due in part to the impact of co-solute effects for the trichloroethene studies, which employed a suite of PFAS. The magnitudes of reported Kow values, along with the results of the few initial studies, indicate that NAPL partitioning may be a significant retention process for select PFAS.

2e. Adsorption at the NAPL-Water Interface

The adsorption of constituents at the NAPL-water interface is similar to adsorption at the airwater interface, and is governed by the same factors as discussed above for the latter process. Adsorption of surfactants to NAPL-water interfaces has been measured for a variety of porous media (Saripalli et al., 1998; Cho and Annable, 2005; Dobson et al., 2006;

Brusseau et al., 2008, 2009, 2010; Narter and Brusseau, 2010; McDonald et al., 2016; Zhong et al., 2016). These data have been used to determine the impact of soil properties such as grain size on interfacial adsorption (Cho and Annable, 2005; Dobson et al., 2006; Brusseau et al., 2009, 2010).

The R for aqueous-phase transport of solute undergoing adsorption at the NAPL-water interface is given as (e.g., Brusseau et al., 2008):

$$R=1+K_{ni}A_{ni}/\theta_w$$
 (4)

where K_{ni} is the NAPL-water interface adsorption coefficient (cm³/cm²), A_{ni} is the specific NAPL-water interfacial area (cm²/cm³), and θ_w is volumetric water content (–). As discussed in subsection 2c, it is expected that surfactant type PFAS will accumulate at fluid-fluid interfaces, including NAPL-water interfaces. Thus, NAPL-water interface adsorption may be important for transport of PFAS in the source zones of NAPL-contaminated sites. It should also be noted that contaminants present in the vapor phase can adsorb at air-NAPL interfaces. Given the focus herein on PFOA and PFOS, this process is not considered for the analysis. However, it may be relevant for other PFAS.

3. Comprehensive Retention Model

Current published conceptual and mathematical models of PFAS transport and fate in the subsurface focus on solid-phase adsorption as the sole source of retention. For example, all three of the recent detailed assessments of field-scale PFAS transport and fate discussed above employed this assumption (Shin et al., 2011; Xiao et al., 2015; Weber et al., 2017). In addition, this assumption is present in the most recent comprehensive reports on PFAS management (CONCAWE, 2016; CRCCARE, 2017) and in the PFAS section of the Environmental Restoration Wiki (SERDP, 2017). However, the research discussed above examining the impact of adsorption at air-water and NAPL-water interfaces, in conjunction with the strong surfactant properties of some PFAS, indicates that we may anticipate significant retention of PFAS by these processes. In addition, partitioning to NAPL and air phases may be relevant for select PFAS. Thus, it is likely that retardation and transport of PFAS in source zones can be, under certain conditions, influenced by multiple retention processes. In such cases, retardation of PFAS in source areas may be significantly greater than what is typically estimated based on the standard assumption of solid-phase adsorption as the sole retention mechanism. A comprehensive conceptual model is proposed for PFAS retention and retardation to address this situation. It includes the following retention processes: (i) solid-phase adsorption, (ii) adsorption at the air-water interface, (iii) partitioning to the soil atmosphere, (iv) adsorption at the NAPL-water interface, and (v) partitioning to NAPL. To our knowledge, this comprehensive, multi-process retention approach has not been employed to date for PFAS.

The retardation factor for aqueous-phase transport of solute undergoing retention by all of the aforementioned processes is given as:

$$R=1+K_{d\rho b}/\theta_{w}+K_{ai}A_{ai}/\theta_{w}+H\theta_{a}/\theta_{w}+K_{ni}A_{ni}/\theta_{w}+K_{nw}\theta_{n}/\theta_{w}$$
 (5)

where H is the air-water partition (Henry's) coefficient (–), K_d is the solid-phase adsorption coefficient (cm³/g), K_{nw} is the NAPL-water partition coefficient (–), K_{ai} is the air-water interface adsorption coefficient (cm³/cm²), K_{ni} is the NAPL-water interface adsorption coefficient (cm³/cm²), A_{ai} is the specific air-water interfacial area (cm²/cm³), A_{ni} is the specific NAPL-water interfacial area (cm²/cm³), ρ_b is porous-medium bulk density (g/cm³), θ_n is volumetric NAPL content (–), θ_a is volumetric air content (–), and θ_w is volumetric water content (–). By phase balance, $\theta_w + \theta_a + \theta_n = n$, where n is porosity, and $S_w + S_a + Sn$ = 1, where S_w , S_a , and Sn are fluid saturations of water, air, and NAPL, respectively (and S_i = θ_i/n).

The conceptual approach employed has been validated for each of the individual retention processes for a range of constituents in prior work (as noted in section 2), albeit not for PFAS. Thus, while the approach has not been tested specifically for PFAS, there is general confidence in its use to represent phase distributions in subsurface systems. The conceptual model is focused solely on retention and retardation, not transport. Thus, factors such as rate-limited mass transfer and spatial variability of system properties and conditions are not considered. Application of the approach implicitly considers the system to be under pseudoequilibrium conditions. As discussed above, PFAS distribution coefficients have been shown to be influenced by system conditions. The impact of system conditions on retention and retardation can be accounted for by incorporating distribution coefficients determined for the specific relevant conditions. Note that the model is simplified by assuming that gas-phase transport of compounds in the soil atmosphere is relatively insignificant compared to advective transport in the aqueous phase, such that partitioning to soil atmosphere can be treated as a retention process. In addition, NAPL is assumed to be essentially immobile, and distributed in such a manner that its impact on solid surfaces (e.g., blocking solid-phase adsorption) is minimal. Hence, NAPL is assumed to act as a retention phase for aqueous solute.

4. Parameterization for Illustrative Retardation Factor Calculations

Measured and estimated values for the relevant distribution coefficients will be used to calculate retardation factors for a representative porous medium. The measured porousmedium properties represent a sandy vadose-zone subsoil composed primarily of quartz with moderately low organic-carbon content. The porous-medium related parameter values used for the calculations are presented in Table 1. A water saturation of 78% is selected for the initial analysis to represent a moderately wet system wherein aqueous-phase advective transport is predominant. The impact of different water saturations will also be examined. A NAPL saturation of 2% is used, representative of a mean value averaged across the entire source zone. This value is representative of measured field-scale values (e.g., Nelson and Brusseau, 1996). The air saturation is 20% given the designated water and NAPL saturations. Air-water and NAPL-water interfacial areas of 80 and 20 cm⁻¹, respectively, are

used based on values measured for a sandy subsoil at the corresponding fluid saturations (Brusseau et al., 2007, 2008, 2015).

The two primary PFAS of most concern will be examined, PFOS and PFOA. In addition, 8:2 fluorotelomer alcohol (FTOH) will be included as a representative precursor of PFOA, given that it can undergo transformation to PFOA (e.g., CONCAWE, 2016). While FTOH has not been reported in published reports of sampled groundwater, it and other select PFAS may transport from facilities via atmospheric processes with subsequent deposition to land (e.g., Ellis et al., 2003; Ahrens, 2011; Shin et al., 2011; Ding and Peijnenburg, 2013). Given FTOH's precursor status and potential for widespread surface distribution, it is relevant to consider its potential transport behavior in soil, as noted by Liu and Lee (2005). It also serves to illustrate the significant differences in transport behavior that exists among the numerous PFAS.

Distribution coefficient values used for the calculations are presented in Table 2. Reported K_{ow} values are used as a surrogate for K_{nw} . This is a reasonable first approximation given that both are measures of liquid-liquid partitioning. As noted above, relatively low TCE-water K_{nw} values were reported for PFOA and PFOS for a PFAS mixture. The impact of these lower values will be discussed below. Measured or calculated H values are taken from the literature. Solid-phase sorption is simplified for this assessment by employing the assumption that sorption is governed by hydrophobic interactions, and is correlated to organic carbon. In this case, K_d is calculated as $f_{oc}K_{oc}$, where f_{oc} is the fraction of soil organic carbon and K_{oc} is the OC-normalized sorption coefficient. Representative K_{oc} values are taken from the literature. This simplification is reasonable for the representative porous medium used herein, which has minimal clay-mineral and metal-oxide content. Sorption interactions with clay and oxides would need to be considered for more heterogeneous media. Nonlinear adsorption for PFOA and PFOS is accounted for by employing a Freundlich power term of 0.8, based on values reported in the literature.

To our knowledge, K_{ai} and K_{ni} values have not yet been reported for PFAS. They can be determined by analyzing measured surface tension or interfacial tension data, which is the standard method for measuring K_{ai} and K_{ni} . The surface excess Γ (mol/cm²) is related to aqueous phase concentration (C) using the Gibbs equation (e.g., Adamson 1982):

$$\Gamma = -\frac{1}{\mathrm{RT}} \cdot \frac{\partial \gamma}{\partial \mathrm{C}} = \mathrm{K}_1 \cdot \mathrm{C} \quad (6)$$

where K_i represents the interfacial adsorption coefficient (in our case K_{ai} or K_{ni}), γ is the interfacial tension (dyn/cm), *C* represents the aqueous phase concentration (mol/cm³), and *R* is the gas constant (erg/mol °K). In the absence of excess electrolyte, a correction factor of $\frac{1}{2}$ is applied to equation 6. Surface tension data have been reported for PFOA by several investigators (Downes et al., 1995; López et al., 2005; Vecitis et al., 2008; Lunkenheimer et al., 2015), and the results are consistent among the studies. Data reported by Vecitis et al. (2008) are used to calculate K_{ai} values for PFOS and PFOA as these were the sole data available for PFOS. The data were re-plotted and regressed to determine K_{ai} (Figure 1). Note

that these data were measured for pure water. Thus, the resultant K_{ai} values may vary somewhat from what would be measured for an aqueous solution containing electrolytes (e.g., groundwater). Interface adsorption data for fluorotelomers is very scarce, with the only data reported for 8:2 FTOH (Li et al., 2006; Rontu and Vaida, 2007). Conversion of the interface uptake coefficient reported by Li et al. (2006) results in a K_{ni} value of approximately 0.0005 cm. This is in the range of values reported for various polar organic compounds (Kim et al., 1998; Costanza and Brusseau, 2000). In the absence of NAPL-water interfacial tension data for PFOA and PFOS, the K_{ni} value will be set equal to K_{ai} . This is a reasonable first approximation, supported by the similarity of K_{ni} and K_{ai} values measured for a common surfactant used as an interfacial partitioning tracer, SDBS (Brusseau et al., 2007,2008, 2010). However, it is possible that K_{ni} and K_{ai} values for PFAS may exhibit greater disparity given their unique physicochemical properties.

5. Assessing the Contribution of Retention Processes to Retardation

5a. Results

Individual process-specific retardation factor (R) values are calculated and reported in Table 3 for each PFAS, along with the total R for the combined retention from all processes. The fractions of total retention associated with each retention process are also reported. For both PFOA and PFOS, the retardation factors for sorption are of sufficient magnitude to have a significant impact on migration potential. This is consistent with prior analyses reported in the literature. Retention associated with partitioning to the soil atmosphere is negligible, as would be anticipated given the small H values. Partitioning to bulk NAPL contributes similar magnitudes of retention to that of solid-phase sorption. Finally, retention associated with adsorption at the air-water and NAPL-water interfaces is observed to be quite large for both PFOA and PFOS. Specifically, interfacial adsorption comprises approximately 65% of total retention for both compounds. Additionally, air-water interface adsorption alone accounts for approximately 50% of total retention. These results illustrate the significance of interfacial adsorption on PFOA and PFOS retention on PFOA and PFOS retention for this example system.

Quite different results are obtained for 8:2 FTOH. Adsorption to fluid-fluid interfaces is minimal, which is expected given the much lower surface activity of FTOH compared to PFOS and PFOA. Partitioning to the soil atmosphere is relatively small. However, this could be significant under conditions wherein vapor-phase transport is relevant. The retardation factor for sorption is relatively large, signifying small migration potential. By far the largest source of retention is partitioning to bulk NAPL, contributing ~98% of total retention. Comparison of the results between PFOA/PFOS and FTOH serves to illustrate the significant differences in retention behavior that may be anticipated for the various PFAS as a function of their specific physicochemical properties.

5b. Condition Dependency of the Retention Processes

The illustrative application presented above was developed using a single set of system variables for generally idealized conditions. As discussed in the prior sections, retention by the various processes is influenced by numerous factors. Hence, it is important to discuss the condition dependency of the retardation factors reported in Table 3. Factors that may

influence the values include aqueous PFAS concentration, porous-medium conditions such as fluid saturation, and water-chemistry properties (ionic strength, salt composition, co-contaminants). In addition, some of these may change with time or space.

Air-water partitioning and NAPL-water partitioning are linear mass-transfer processes under normal conditions. Therefore, the magnitudes of the associated distribution coefficients reported in Table 2 are independent of PFAS concentration. Thus, the calculated R values apply irrespective of the PFAS concentration present. For this assessment, sorption was treated as a nonlinear process for PFOA and PFOS, and thus the associated R values are a function of concentration. Fluid-fluid interface adsorption coefficients are strongly nonlinear, as seen through examination and application of equation 6. The Kai and Kni values used for the analysis presented in Table 3 are based on an aqueous PFAS concentration of 0.1 mg/L. This represents an approximate median groundwater concentration for ranges of values reported in the literature (cf., Cousins et al., 2016). Lower concentrations would result in larger Kai and Kni values, leading to larger contributions of interface adsorption to retention, and vice versa. The effect of aqueous PFOS concentration on Kai values and resultant R values for air-water interface adsorption and solid-phase adsorption is illustrated in Table 4. The magnitude of the R for air-water interfacial adsorption is observed to differ by a factor of ~ 200 over a concentration range from 0.01 to 10 mg/L. The contribution of solid-phase adsorption is observed to be greater than that of air-water interfacial adsorption at aqueous PFOS concentrations near and greater than 1 mg/L.

For a given porous medium, bulk density, porosity, and f_{oc} are presumed to be constants for most conditions (although site activities may induce changes). Conversely, fluid saturations are condition dependent and can vary over a wide range. Changes in fluid saturation control the magnitudes of the fluid volumes and fluid-fluid interfacial areas, which affects the associated magnitudes of PFAS retention. Thus, the magnitude of the calculated R values will depend upon fluid saturation. This is illustrated in Figure 2, where the R for air-water interface adsorption is observed to increase to 140 as water saturation decreases to 0.4. For this analysis, A_{ai} was assumed to be a linear function of S_w . However, total A_{ai} is actually a nonlinear function of S_w , particularly at lower S_w (e.g., Or and Tuller, 1999; Kim et al., 1999; Peng and Brusseau, 2005; Brusseau et al., 2007). Hence, the increase in R for airwater interface adsorption may in fact be greater than illustrated, especially for S_w lower than 0.4.

The calculations presented above were produced for an idealized system treating each PFAS as the sole constituent of concern in solution. However, it is likely that many PFAS constituents will be present in solution at most sites. In addition, other contaminants such as solvents, fuel constituents, salts, non-PFAS surfactants, and metals may also be present. The presence of co-contaminants is likely to have impacts on the magnitudes of PFAS partitioning to the various phases. For example, competitive and/or cooperative sorption effects may influence solid-phase adsorption. This is illustrated by the results presented by Guelfo and Higgins (2013), who examined the impact of co-contaminants on the sorption of several PFAS. As noted above, the interfacial activity of PFAS has been shown to be influenced by water chemistry properties such as ionic composition (Downes et al., 1995)

and the presence of co-occurring PFAS compounds (Vecitis et al., 2008). In addition, the presence of co-contaminants can affect surface wettability, which can influence fluid configuration and distribution, and thereby alter interfacial adsorption. The preceding demonstrates that it is critical to assess the potential impact of co-contaminants on the various retention processes.

The system conditions may change with time, which may influence retention. For example, water saturations may change relatively rapidly with a precipitation-infiltration-redistribution event. Changes in S_w would lead to changes in the magnitudes of air-water interfacial area, which would result in changes in the magnitude of air-water interfacial adsorption. This in turn would cause redistribution of PFAS among the phases. Natural or remediation-induced changes to system conditions may occur, thereby altering retention. For example, site remediation activities may induce changes in the composition and/or physical properties of the NAPL, which can alter its configuration and distribution, thereby influencing retention. Site perturbations may also affect properties of the PFAS mixture, the porous medium, and water chemistry, all of which may influence retention.

Accounting for the impacts of the complexities discussed above is feasible, albeit likely difficult in many cases. The impact of water-chemistry properties and the presence of cocontaminants would ideally be addressed by measuring distribution coefficients for the specific system of interest (i.e., using site groundwater, with all relevant constituents present). The impact of changes in water or NAPL saturation on magnitudes of interfacial area can be accounted for using the correlations that are available in the literature relating interfacial area to porous-medium properties (texture) and fluid saturation.

An alternative or supplemental approach for characterizing multi-process retention under specific site conditions would be to conduct tracer tests using a suite of conservative and partitioning tracers (Brusseau et al., 1997). For example, to delineate NAPL partitioning versus NAPL-water interfacial adsorption, one would use a tracer that partitions to bulk NAPL (with minimal interfacial adsorption) and another tracer that adsorbs at the interface (with minimal bulk partitioning) (e.g., Annable et al., 1998b; Brusseau et al. 2003). Similarly, specific tracers can be selected to characterize solid-phase adsorption, air-water partitioning, and air-water interfacial adsorption (e.g., Nelson and Brusseau, 1996; Annable et al., 1998a; Cain et al., 2000; Brusseau et al., 2003; Costanza-Robinson et al., 2013).

6. Conclusion

Currently, solid-phase adsorption is the sole retention process considered for published conceptual and mathematical models of PFAS transport and fate in the subsurface. However, specific physicochemical properties of PFAS impart a potential propensity to partition to other retention phases. A comprehensive conceptual model is proposed for PFAS retention and retardation to account for these additional retention processes. It includes: (i) solid-phase adsorption, (ii) adsorption at the air-water interface, (iii) partitioning to the soil atmosphere, (iv) adsorption at the NAPL-water interface, and (v) absorption by NAPL. An assessment of the relative magnitudes and significance of these retention processes was conducted for three representative PFAS for a representative, idealized system.

The results showed that adsorption to air-water and NAPL-water interface was a primary source of retention for both PFOA and PFOS, contributing approximately 65% of total retention for the example system. Additionally, air-water interface adsorption alone accounted for ~50% of total retention. This assessment represents a first-order analysis of these factors, given the use of a single system and simplified conditions. Clearly, the specific magnitudes of R reported apply solely to the specific system investigated. The magnitudes and relative significance of the various retention processes will depend upon conditions of each specified system of interest. However, the parameter values employed were obtained primarily from measured data sets, providing confidence in the general validity of the overall results indicating the potential significance of interfacial adsorption. For example, the values used for the K_{ai} were determined from measured surface-tension data, which is the standard method for its determination. The values used for A_{ai} were obtained from reported measured data from prior works. Hence, both parameters used to determine the magnitude of air-water interfacial adsorption originate from measured data.

While simplified conditions were employed in the analysis, the potential impacts of more complex system conditions were discussed. These factors can be accounted for by measuring the distribution coefficients under conditions representative of the particular subsurface environment of concern. In addition, partitioning tracer tests serve as a means by which to characterize multiprocess retention under site-specific field conditions.

The results of this initial assessment indicate that we may anticipate significant retention of PFAS by these additional processes under certain conditions. For example, the total R values for PFOS and PFOA, which account for all potential sources of retention, were approximately 4–10 times larger than the R values for solid-phase adsorption alone. As discussed above, several recent studies have indicated that PFAS present in the vadose zone is a primary, long-term source of groundwater contamination. Thus, the results of the present study suggest specifically that air-water interface adsorption should be considered when assessing PFAS transport and fate in the vadose zone. In addition, the potential for NAPL-water partitioning and NAPL-water interfacial adsorption interactions should be considered for sites with NAPL present.

In cases wherein one or more of the additional retention processes are relevant, retardation of PFAS in source areas may be significantly greater than what is typically estimated based on the assumption of solid-phase adsorption as the sole retention mechanism. This has significant ramifications for example for accurate determination of the migration potential and magnitude of mass flux to groundwater. In addition, calculations of contaminant mass residing in source zones would also be influenced by the existence of these additional retention processes, with the potential for significant underestimation. Both of these elements have critical implications for risk assessment and remedial-action decision-making. Based on these results, additional investigation of the impact of these retention processes on PFAS transport is warranted.

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Highlights

A comprehensive model for PFAS retention in porous media is proposed

Adsorption at the air-water interface contributes greatly to PFOA/PFOS retention

Adsorption at the NAPL-water interface and NAPL absorption are also significant

Brusseau



Figure 1.

Surface tension measurements for PFOA and PFOS over relevant concentration range. PFOA regression: $\gamma = -0.276*Ln(C)+74.2$, r²=0.972; PFOS regression: $\gamma = -0.737*Ln(C)$ +74.3, r²=0.89. Raw data from Vecitis et al. (2008).

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Figure 2.

Impact of water saturation on PFOS retardation factors for solid-phase adsorption, air-water interface adsorption, and the sum of the two (total).

Properties of representative porous medium.

Property	Value
Bulk density (g/cm ³)	1.5
Porosity	0.333
Fraction of soil organic carbon	0.002
Water saturation	0.78
Air saturation	0.2
NAPL saturation	0.02
Volumetric water content	0.26
Volumetric air content	0.067
Volumetric NAPL content	0.0067
Air-water interfacial area (cm ⁻¹)	80
NAPL-water interfacial area (cm ⁻¹)	20

Distribution coefficients.

Coefficient	Value	Source			
PFOA					
K _{oc}	108	mean of values reported by ref a and b^a			
Н	0.002	compiled value from Ding and Peijnenburg (2013)			
K _{nw} [K _{ow}]	83	Jing et al. (2009)			
$K_{ai}, K_{ni} (cm)^b$	0.0234	analysis of Vecitis et al. (2008) data			
PFOS					
K _{oc}	568	mean of values reported by ref a and b^a			
Н	0.002	compiled value from Ding and Peijnenburg (2013)			
K _{nw} [K _{ow}]	282	Jing et al. (2009)			
$K_{ai}, K_{ni} (cm)^b$	0.0755	analysis of Vecitis et al. (2008) data			
FTOH					
K _{oc}	13490	Liu and Lee (2005)			
Н	3.8	Goss et al. (2006)			
K _{nw} [K _{ow}]	380189	Carmosini and Lee (2008)			
$K_{ai}, K_{ni} (cm)^b$	0.0005	analysis of Li et al. (2006) data			

^aRef a: Higgins and Luthy, 2006, ref b: Milinovic et al., 2015

 $b_{\mbox{Calculated for an aqueous PFAS concentration of 0.1 mg/L.}$

Process-specific and Total Retardation Factors

Retention Process ^a	PFOA	PFOS	FTOH
Sorption	3.0 (15) ^b	11.4 (22)	157 (2)
Air-water Partitioning	1.0 (0)	1.0 (0)	2.0 (0)
Air-water Interfacial Adsorption	8.2 (55)	24.4 (50)	1.2 (0)
NAPL-water Partitioning	3.1 (16)	8.2 (15)	9749 (98)
NAPL-water Interfacial Adsorption	2.8 (14)	6.9 (12)	1.0 (0)
Total Retardation Factor	14.1	47.9	9906
R _{total} /R _{sorption}	4.7	4.2	63

 a Retardation factors for individual retention processes are calculated using the relevant process-specific equations. An R value of 1 denotes no retention.

bPercentage of total retention associated with each retention process (rounded), calculated as $(R_i-1)/(R_t-1)*100$, where R_i and R_t are the process-specific and total retardation factors, respectively.

Impact of aqueous PFOS concentration on K_{ai} and R values for air-water interfacial adsorption (R_{aw}), solid-phase adsorption (R_s), and the sum of the two (R_t).

Concentration (mg/L)	K _{ai} (cm)	R _{aw}	R _s	R _t
10	0.0007	1.2	5.1	5.4
1	0.0076	3.3	7.6	9.9
0.1	0.0755	24.3	11.4	34.7
0.01	0.755	234	17.5	250

 $K_{a\dot{i}}$ values were calculated using equation 6 and the regression data from Figure 2.